

# **Performance framework and impact assessment: Programme and regional level report templates**

**9<sup>th</sup> Regional CBC Consultative Forum**

**11 May 2023, Struga**



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1. Background and context
2. Programme level template
3. Programme level report examples
4. Regional level template
5. Regional level report examples
6. Summary of main points



# Background & context (i)



- The CBC performance framework covers **multiple aspects**, including:
  - better focused programmes and calls for proposals,
  - a realistic and robust indicators' system,
  - clarity in reporting progress and achievements, and
  - programme evaluations.
- The CBIB+ project has supported **the development of a consistent reporting scheme** on the performance of the IPA II CBC programmes (implementation and final stages at programme and regional level).



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# Background & context (ii)



- Pilot work in the previous CBIB+ period encountered **inconsistency challenges** across programmes and data gaps.
- **Simplified reporting templates** have now been developed for programme level and regional level reporting, relying on readily available data: **programme documents**, CBIB+ **statistics on contracted projects**, and the **regional monitoring system** indicators.
- These templates have been filled in for all programmes and region-wide as a pilot. They aspire **to be used in all IPA III** programmes and are the basis of this presentation.



## 1. Purpose:

- To present succinctly the state of play at programme (call for proposals (CfP) and thematic priority (TP)) level in terms of financial inputs and outputs/outcomes/impact.

## 2. Structure:

- Financial performance
- Achievement of performance indicators

## 3. Form:

- Charts and simple summary tables



## 1. Financial performance

- Financial plan & commitments by CfP
- Evolving financial profile by CfP
- Commitments by TP
- Number of projects and average size by:
  - TP
  - CfP
  - Type of partnership\*

\*Sectoral/intersectoral and LRA (Local and regional authority) or NGO led

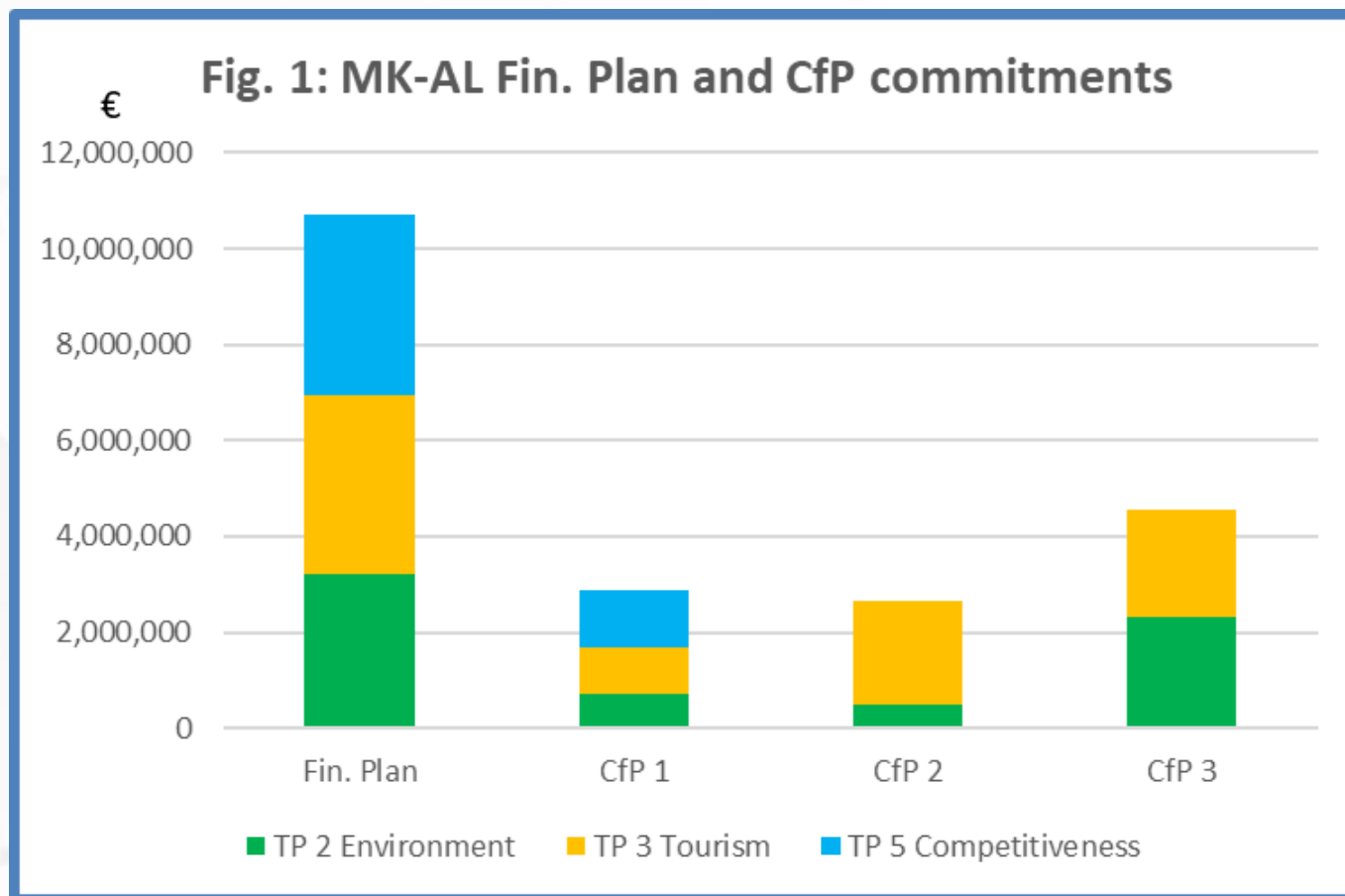


## 2. Achievement of performance indicators

- Overall achievement of targets
- Achievement of targets at TP level
- Selected indicators achievement for each TP
  - Output indicators
  - Outcome indicators
  - Impact indicators



# Report template example: MK-AL (i)



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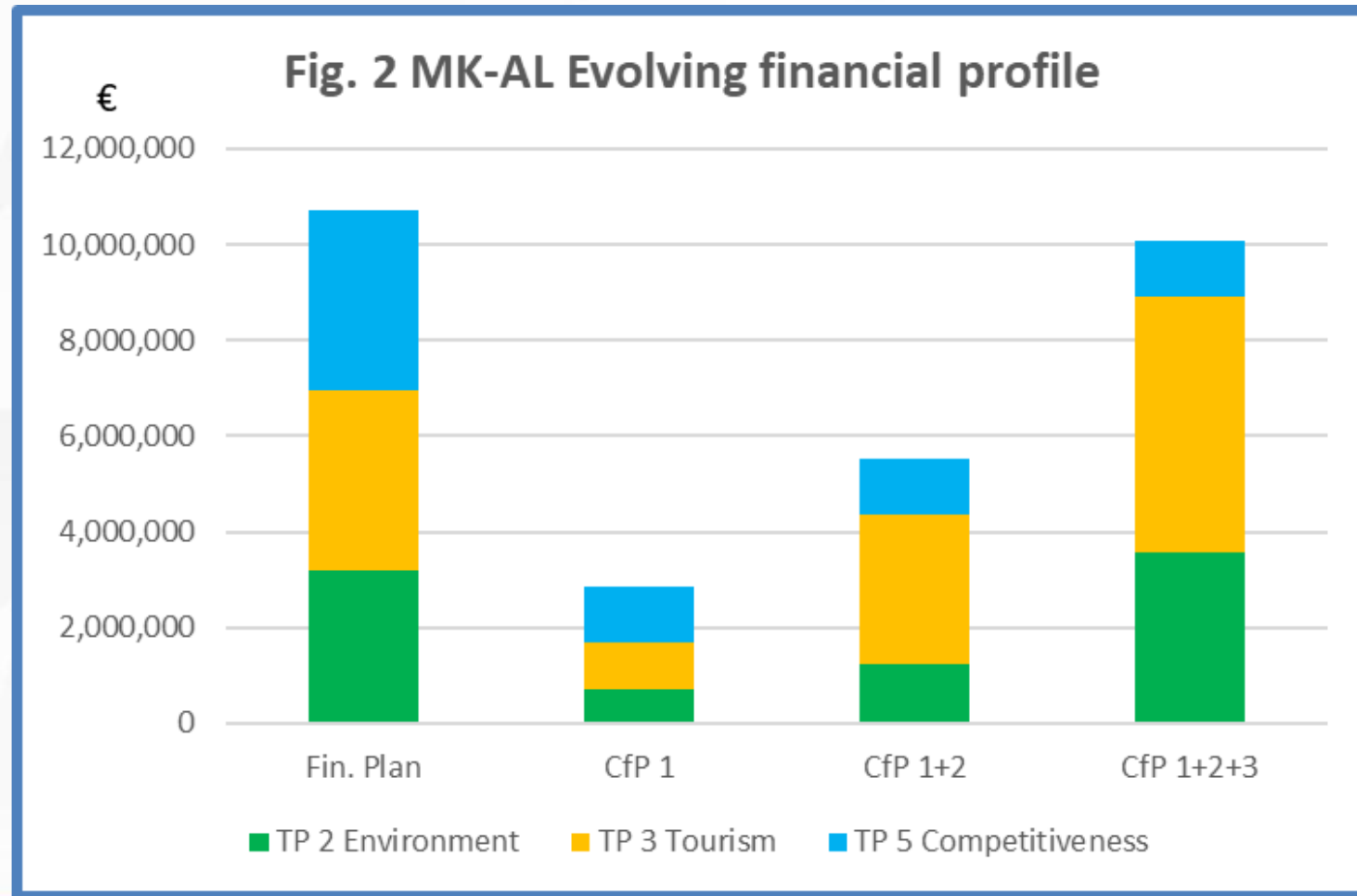


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# Report template example: MK-AL (ii)



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# Report template example: MK-AL (iii)



Table 1: Key facts	
Commitments as % of Fin. Plan	No of CfP
<b>94%</b>	<b>3</b>
Committed funds by type of partnership*	
LRA	NGO
<b>47%</b>	<b>53%</b>

**Table 2: Allocated and committed funds by TP**

Thematic Priority	Total allocation		Total commitments		Deviation from Fin. Plan allocation
	(Financial Plan)		(CfP 1+2+3)		
TP 2 Environment	3,210,000	30%	3,553,777	35%	11%
TP 3 Tourism	3,750,000	35%	5,351,384	53%	43%
TP 5 Competitiveness	3,750,000	35%	1,171,852	12%	-69%
Total	10,710,000	100%	10,077,012	100%	



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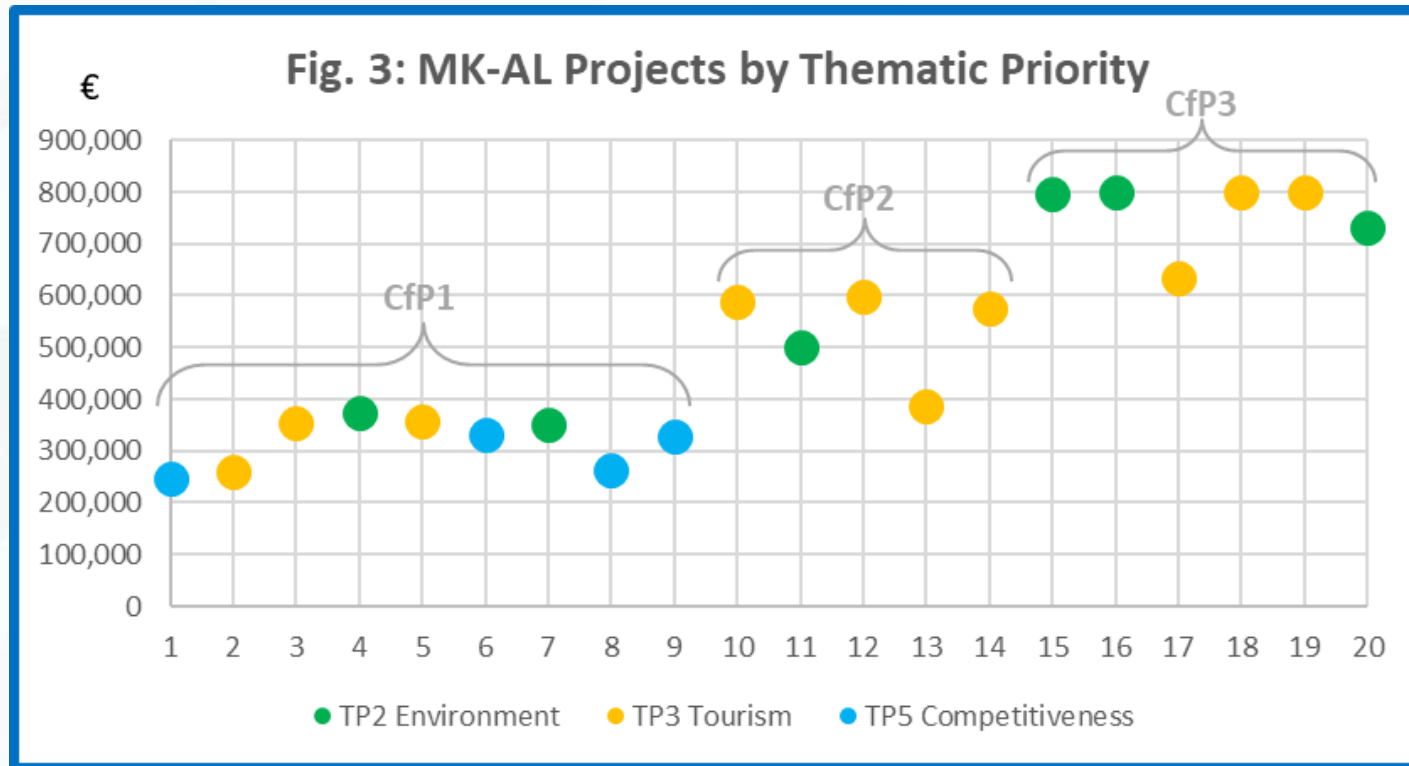
(Amounts represent EU funding, excl. TA)



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# Report template example: MK-AL (iv)



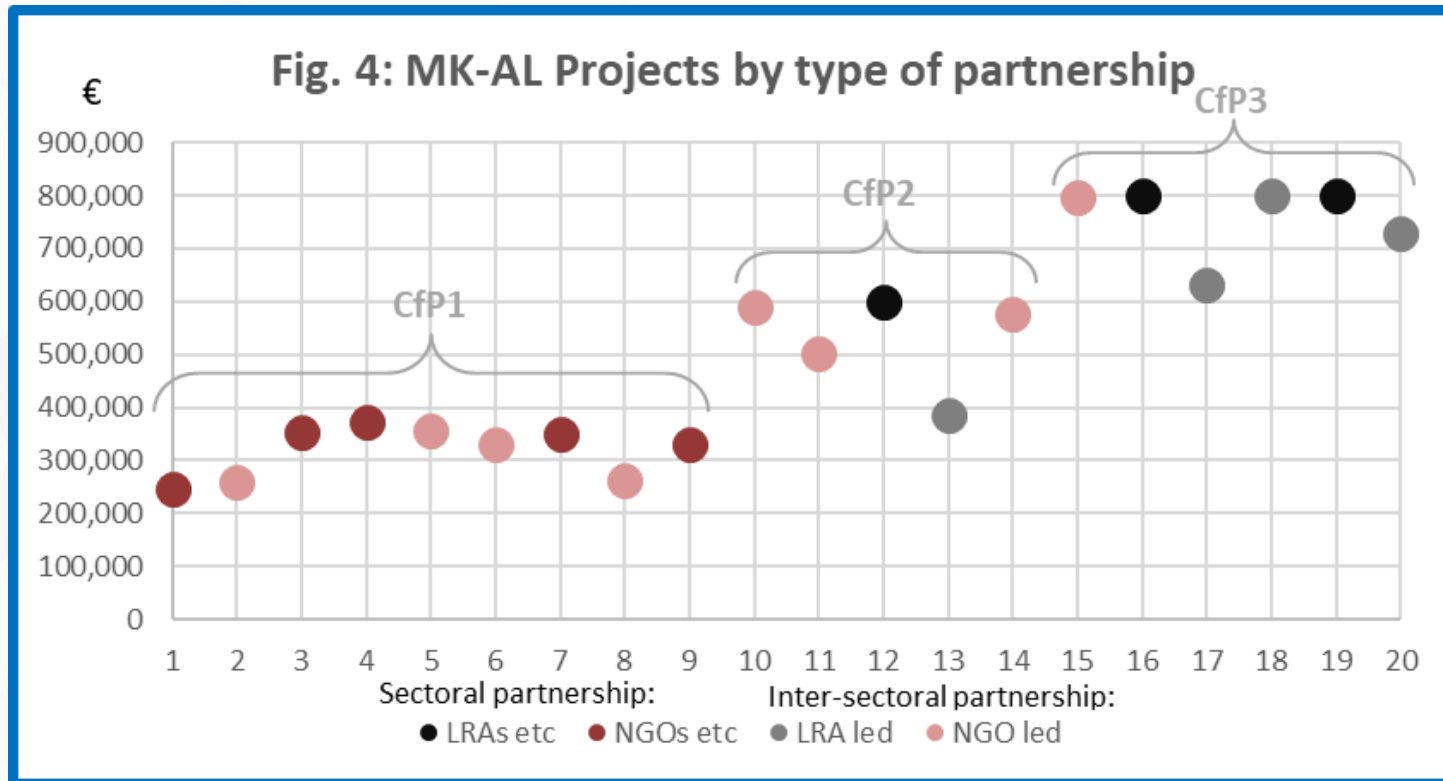
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# Report template example: MK-AL (v)



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# Report template example: MK-AL (vi)



**Table 3: No of projects and average size\* by TP (€)**

TP 2 Environment	6	592,296
TP 3 Tourism	10	535,138
TP 5 Competitiveness	4	292,963
<b>Total projects - Average size</b>	<b>20</b>	<b>503,851</b>

**Table 4: No of projects and average size\* by CfP (€)**

1st CfP	9	318,287
2nd CfP	5	530,428
3rd CfP	6	760,049
<b>Total projects - Average size</b>	<b>20</b>	<b>503,851</b>

**Table 5: No of projects and average size\* by type of partnership\* (€)**

Sectoral: LRAs etc	3	732,819
Sectoral: NGOs etc	5	330,992
Inter-sectoral: LRA led	4	637,692
Inter-sectoral: NGO led	8	459,103
<b>Total projects - Average size</b>	<b>20</b>	<b>503,851</b>



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(Amounts represent EU grants)

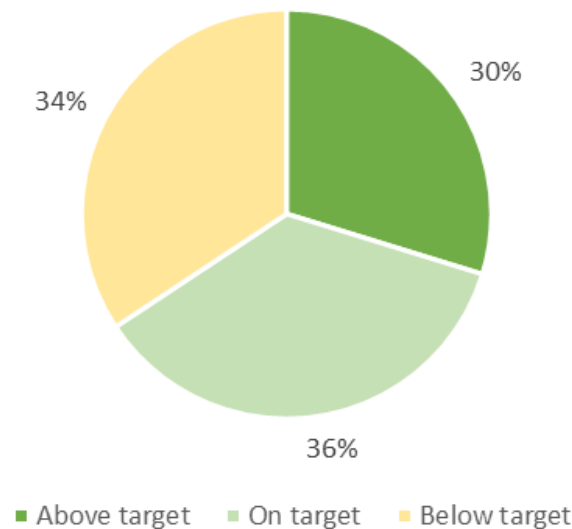


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# Report template example: MK-AL (vii)



**Fig. 5: Overall performance indicators achievement**



**Total number of performance indicators rated: 242**

**(Based on all completed and ongoing projects)**



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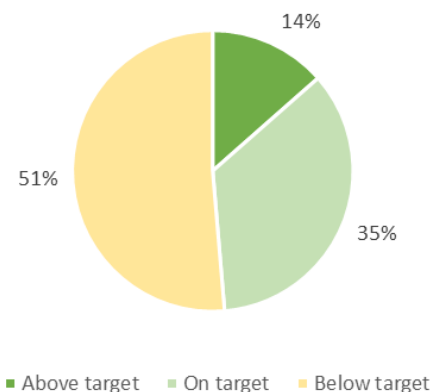
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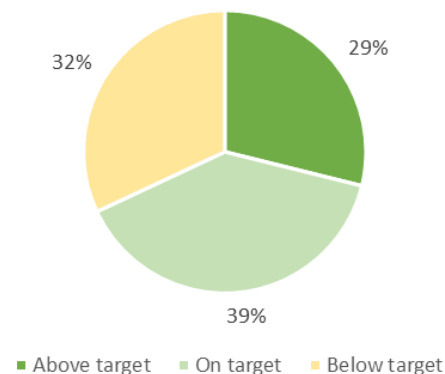
# Report template example: MK-AL (viii)



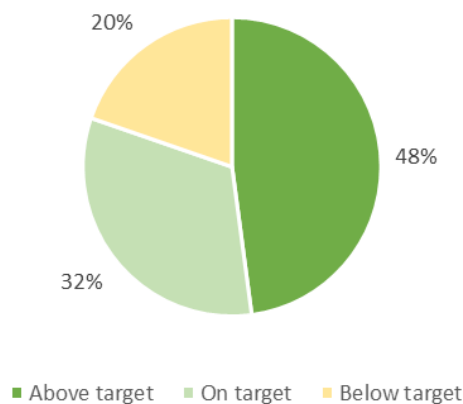
**Fig. 6: Performance indicators achievement - TP2  
Environment**



**Fig. 7: Performance indicators achievement - TP3  
Tourism**



**Fig. 8: Performance indicators achievement - TP5  
Competitiveness**



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# Report template example: MK-AL (ix)



**Table 6: Selected\* performance indicators achievement - TP 2 Environment**

	Value at 31.12.2022	Target
<i>Output indicators</i>		
Number of organisations directly involved in the implementation of this type of projects	68	148
Number of participants in information/promotion events	698	1300
Number of participants in capacity building events organised	198	316
No of organisations and companies taking part in awareness raising activities	15	15
<i>Outcome indicators</i>		
Percentage (%) of population the in CBC area made aware of sustainable use of natural resources and environment in key sectors	3.38	2.38
Number of households, farms, alternative and tourism businesses, and other SMEs from the target region, benefit from the plans for sustainable management of the existing ecosystem services	400	400
Number of beneficiaries who have strengthened capacities in diversification, preservation and sustainable utilisation and management of the natural resources	629	400
<i>Impact indicators</i>		
Number of direct beneficiaries involved	1521	7842



# Report template example: MK-AL (x)



**Table 7: Selected\* performance indicators achievement - TP 3 Tourism**

	Value at 31.12.2022	Target
<i>Output indicators</i>		
Number of organisations directly involved in the implementation of this type of projects	95	161
Number of participants in information/promotion events	1905	1445
Number of participants in capacity building events organised	1185	978
Number of cross-border networks/partnerships formed	35	38
Number of organisations participating in cross-border networks/partnerships formed	228	125
Number of new/improved services developed/introduced in the programme area	31	80
<i>Outcome indicators</i>		
Number of organisations/institutions with increased capacities/competences	101	169
Number of tourists using new/improved products/services/offers/ideas	203760	216955
<i>Impact indicators</i>		
Average length of tourist stay increased (overnights)	10.31	12.34
Increase in the number of overnights made per year	1594292	1615191

# Report template example: MK-AL (xi)



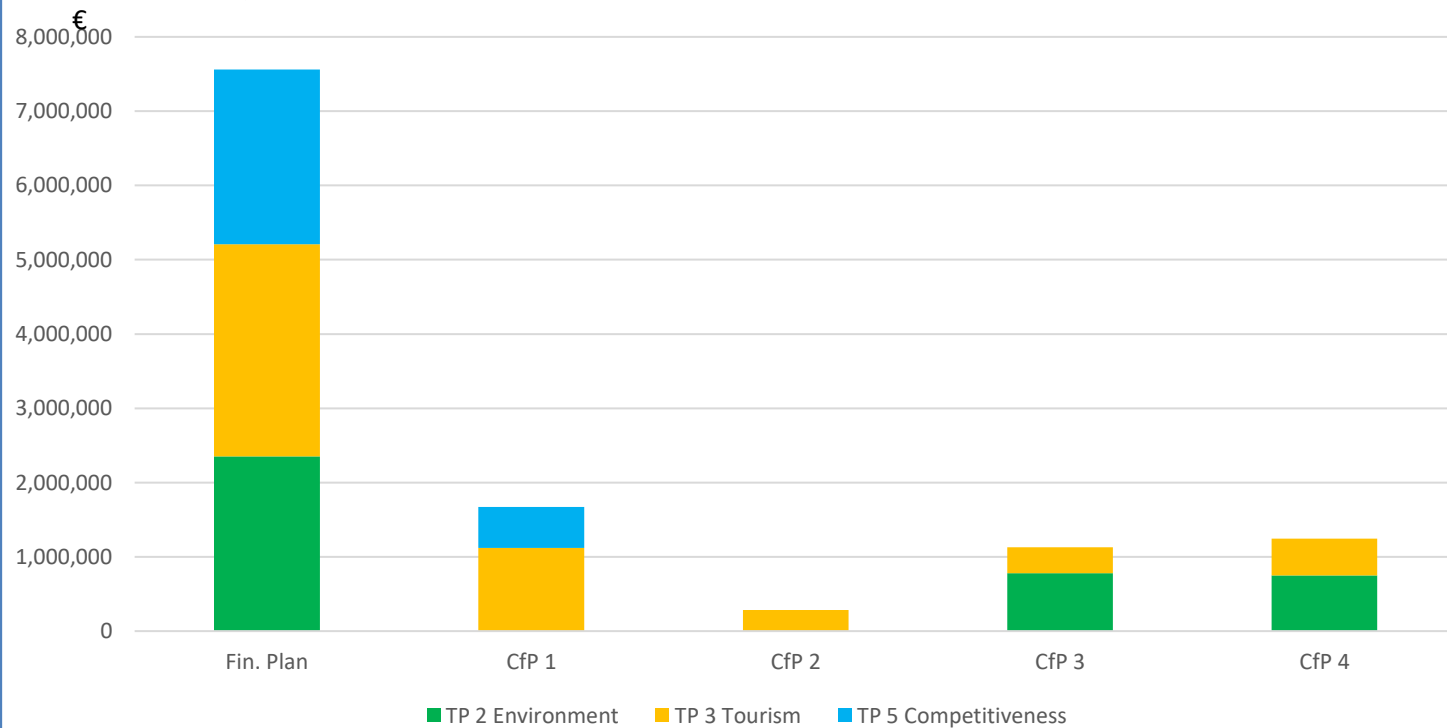
**Table 8: Selected\* performance indicators achievement - TP 5 Competitiveness**

	Value at 31.12.2022	Target
<i>Output indicators</i>		
Number of participants in capacity building events organised	1243	685
Number of cross-border networks/partnerships formed	25	10
Number of people with increased capacities	123	150
Number of SMEs with new conformity certificates awarded (ISO, HACCP, etc.)	64	70
Number of new competitive products introduced to the local and/or international market	72	32
Number of business plans and/or strategies for penetration into new local/international markets prepared	66	55
Number of enterprises that manage to develop new market, or new distribution channel	33	15
Number of SMEs participating in new international business activities	8	10
<i>Outcome indicators</i>		
Number of new businesses established as a result of the call	12	16
Number of companies that develop new partnership within the cross-border initiatives or at the regional and/or international market	4	6
Increased number of SMEs and start-ups with capacities to use new technologies for reaching international markets	40	35
<i>Impact indicators</i>		
Number of new jobs created by the businesses which received assistance from the scheme (including self-employment initiatives)	20	29

# Other examples: KS-MK



**Fig. 1: KS-MK Fin. Plan and CfP commitments**



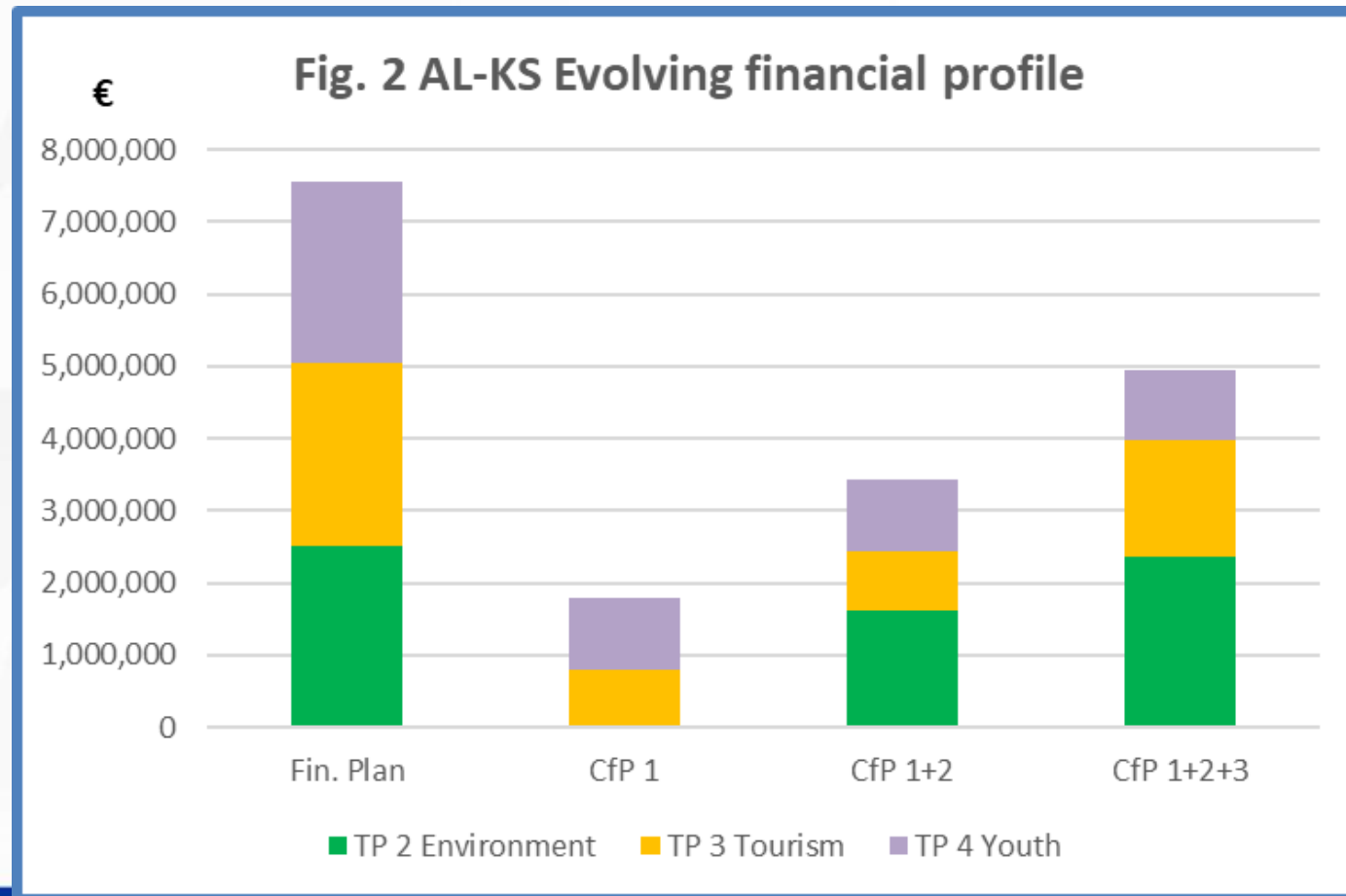
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# Other examples: AL-KS



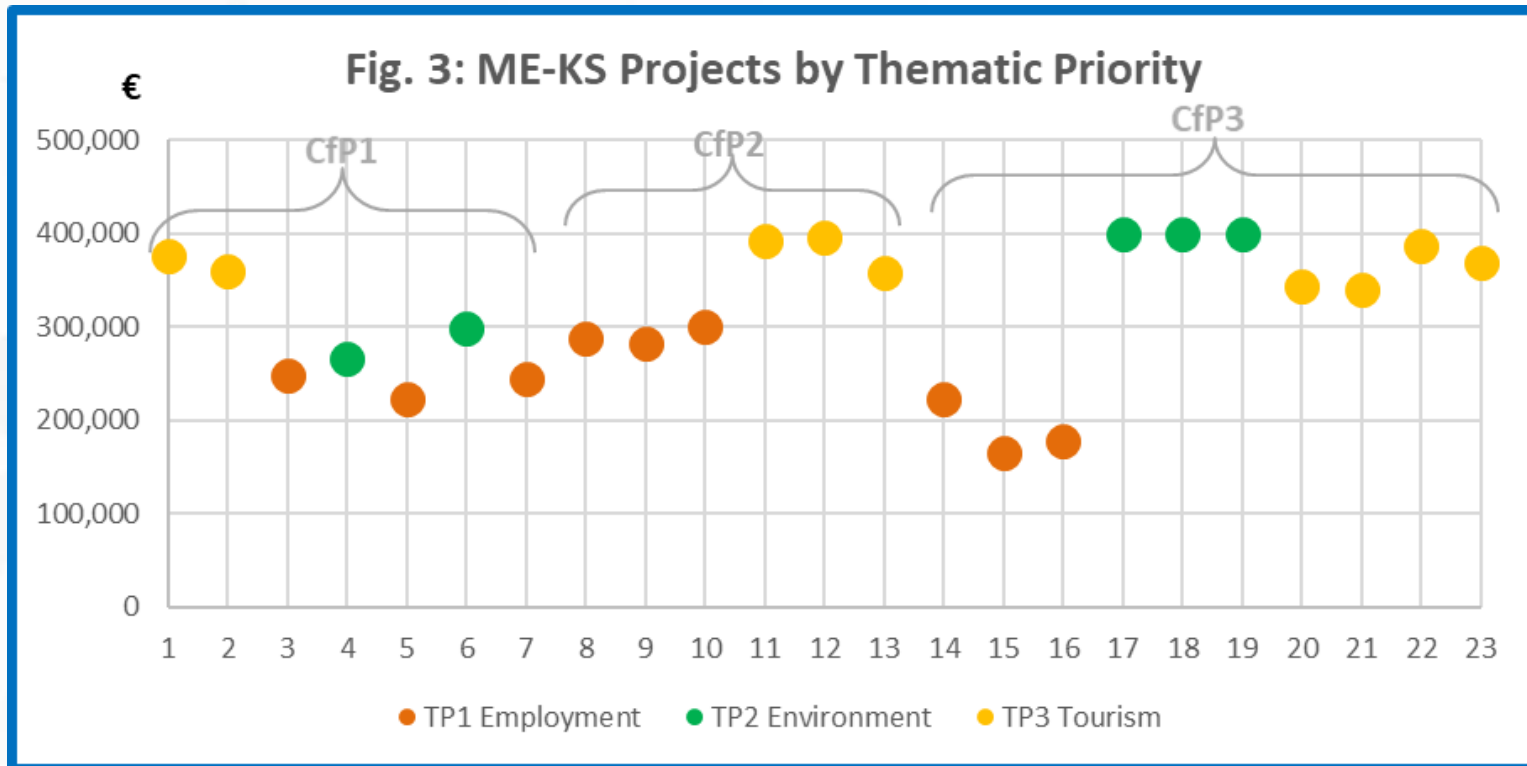
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# Other examples: ME-KS



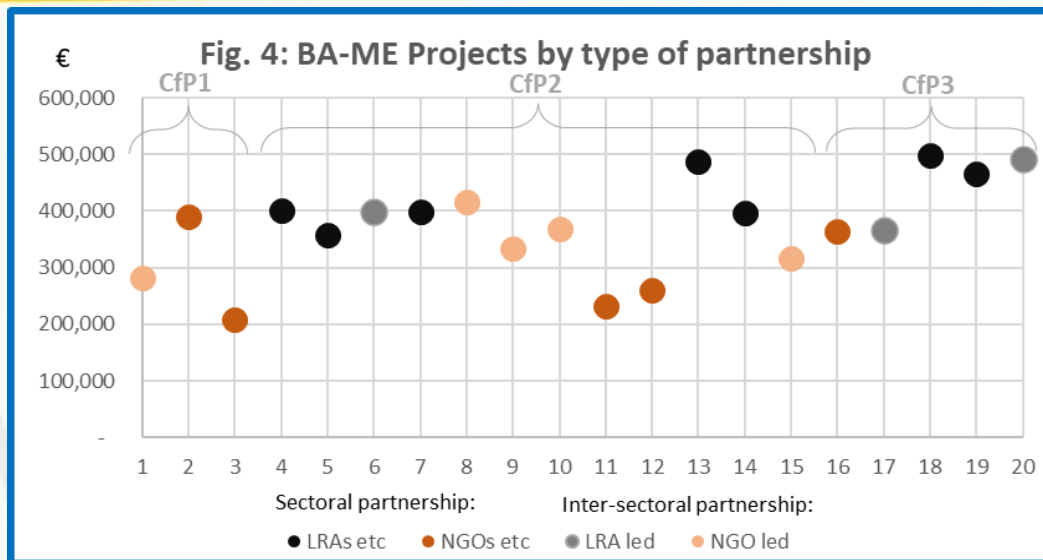
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# Other examples: BA-ME



**Table 4: No of projects and average size\* by CfP (€)**

1st CfP	3	293,624
2nd CfP	12	364,066
3rd CfP	5	437,798
<b>Total projects - Average size</b>	<b>20</b>	<b>371,933</b>

**Table 5: No of projects and average size\* by type of partnership\* (€)**

Sectoral: LRAs etc	7	429,431
Sectoral: NGOs etc	5	291,190
Inter-sectoral: LRA led	3	419,686
Inter-sectoral: NGO led	5	343,526
<b>Total projects - Average size</b>	<b>20</b>	<b>371,933</b>



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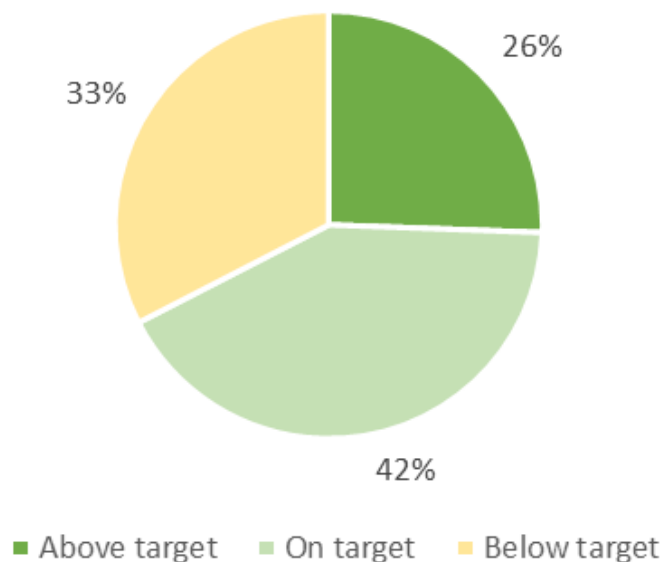


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# Other examples: RS-BA



**Fig. 7: Performance indicators achievement - TP2  
Environment**



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## 1. Financial performance

- Financial plans & commitments (total & by TP)
- Number of projects and average size by TP & CfP
- Share of LRAs and NGOs in commitments

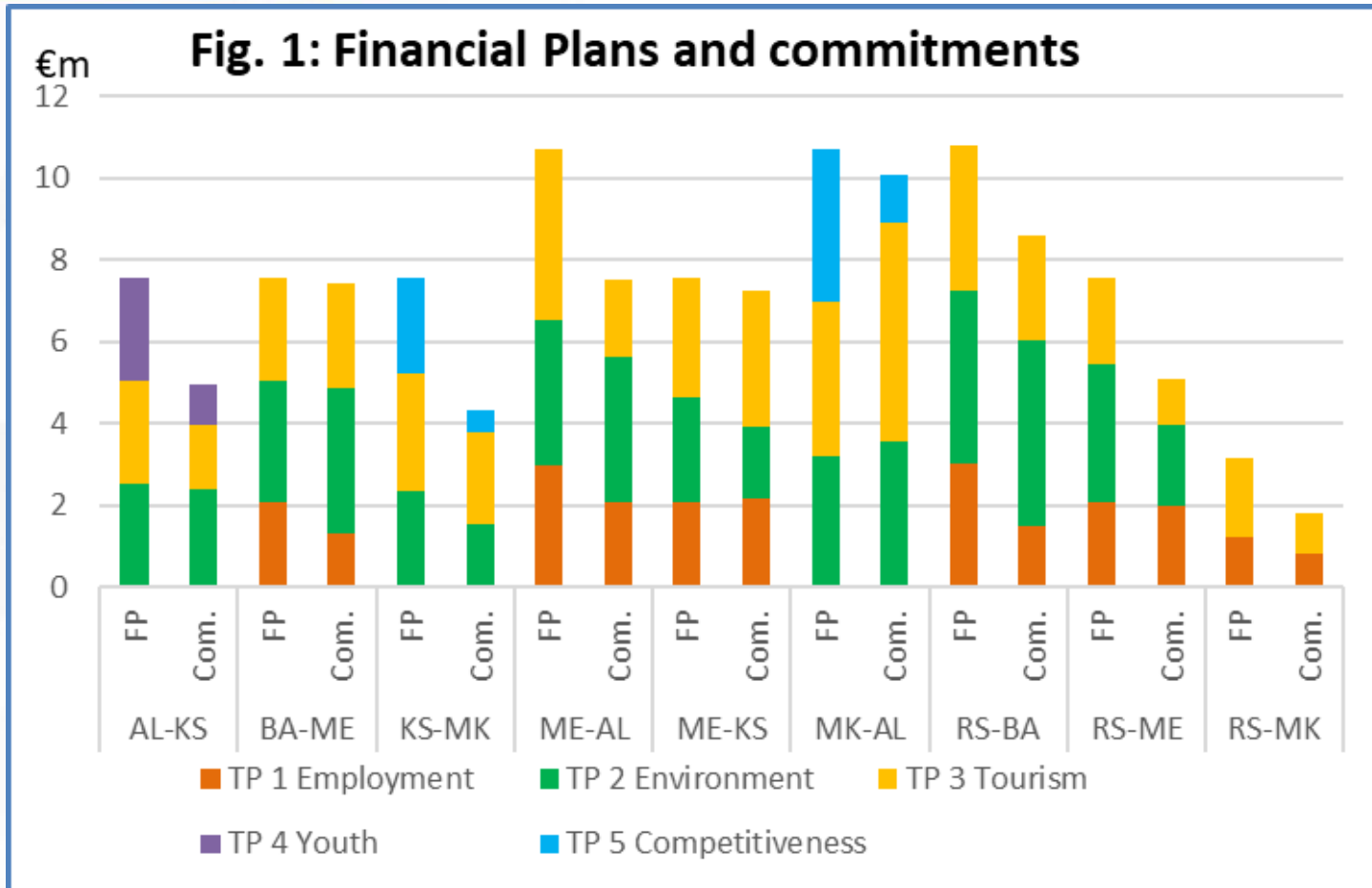
## 2. Achievement of performance indicators

- Overall achievement of targets
- Achievement of targets by programme and TP
- Selected indicators achievement for TP2 Environment & TP3 Tourism: output / outcome / impact indicators





# Regional level template example (i)



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# Regional level template example (ii)



**Table 1: Total allocation and percentage committed**

	AL-KS	BA-ME	KS-MK	ME-AL	ME-KS	MK-AL	RS-BA	RS-ME	RS-MK	IPA II CBC
Financial Plan (€m)	7.560	7.560	7.560	10.710	7.560	10.710	10.800	7.560	3.150	73.170
Total commitments (€m)	4.955	7.439	4.331	7.530	7.242	10.077	8.613	5.089	1.804	57.080
Total commitments %	66%	98%	57%	70%	96%	94%	80%	67%	57%	78%

**Table 2: Deviation of commitments from Financial Plan allocations by TP (%)**

Thematic Priority	AL-KS	BA-ME	KS-MK	ME-AL	ME-KS	MK-AL	RS-BA	RS-ME	RS-MK	IPA II CBC
TP 1 Employment		-37%		-30%	3%		-50%	-6%	-31%	-27%
TP 2 Environment	-6%	20%	-35%	0%	-30%	11%	8%	-40%		-7%
TP 3 Tourism	-37%	2%	-21%	-55%	13%	43%	-28%	-47%	-50%	-18%
TP 4 Youth	-61%									-61%
TP 5 Competitiveness			-77%			-69%				-72%

+/-10%

11%-25%

26%-50%

>50%



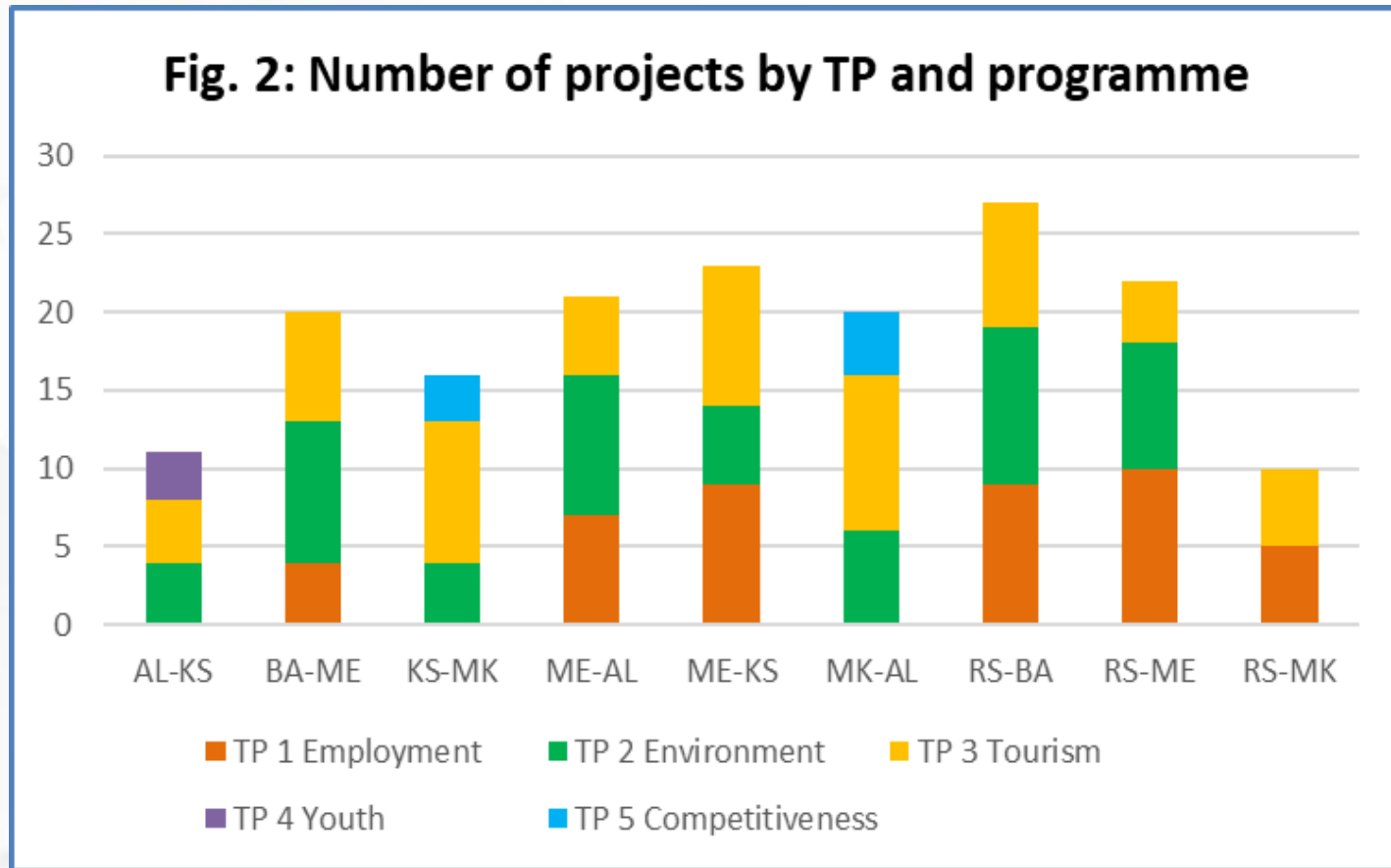
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# Regional level template example (iii)



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Min: 10 (RS-MK)

Average: 19

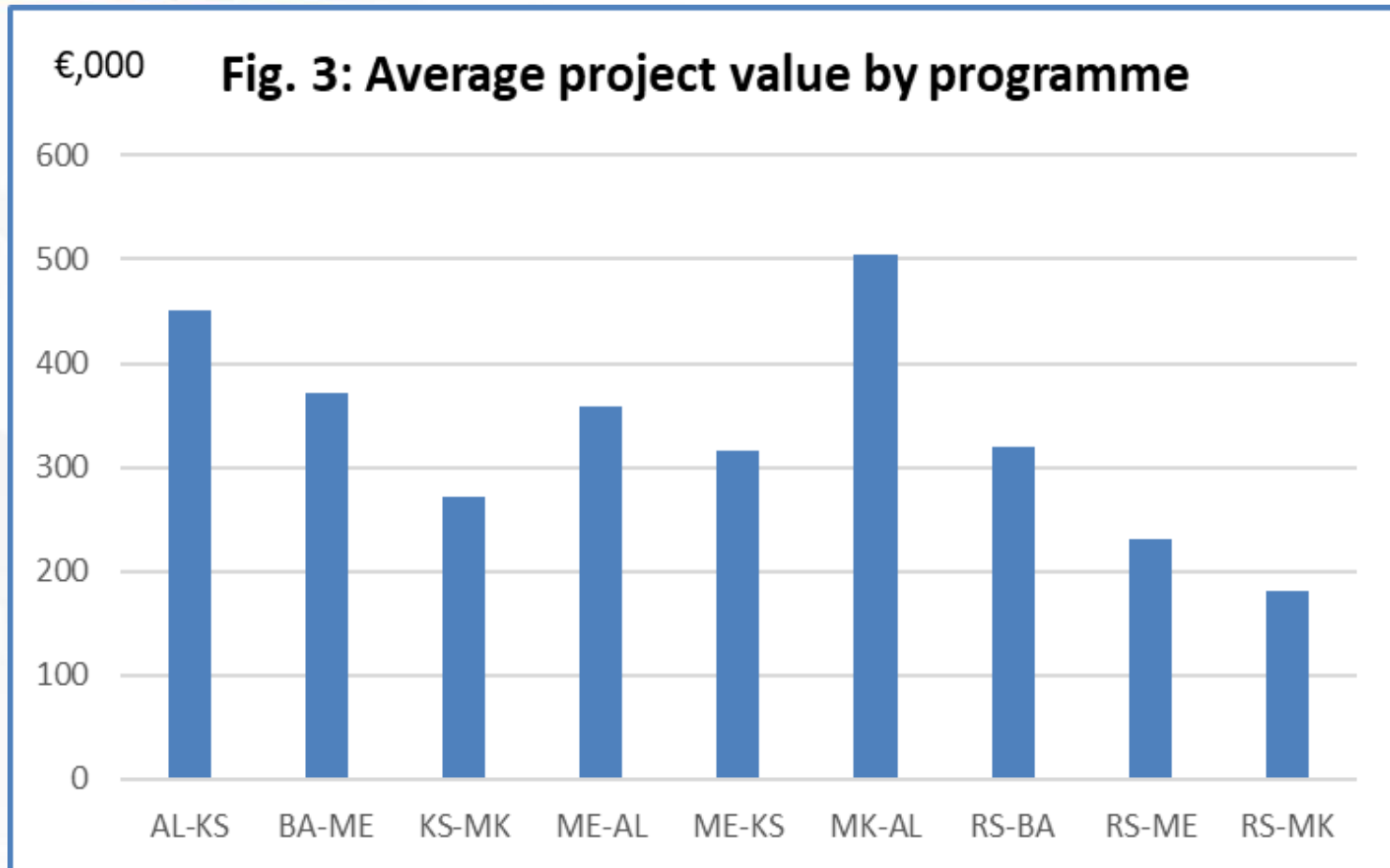
Max: 27 (RS-BA)



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# Regional level template example (iv)



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Min: €180,402 (RS-MK), €231,363 (RS-ME) Max: €450,473 (AL-KS), €503,851 (MK-AL)

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# Regional level template example (v)



Fig. 4a: Average value of Environment (TP2) projects

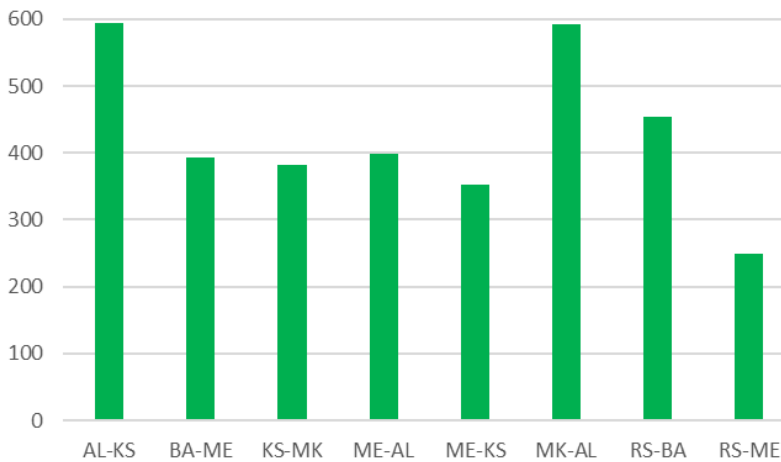


Fig. 4b: Average value of Tourism (TP3) projects

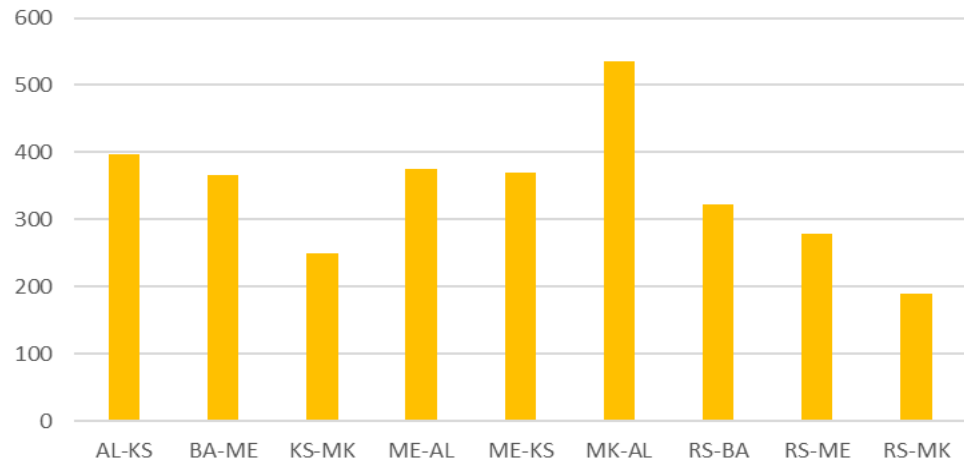
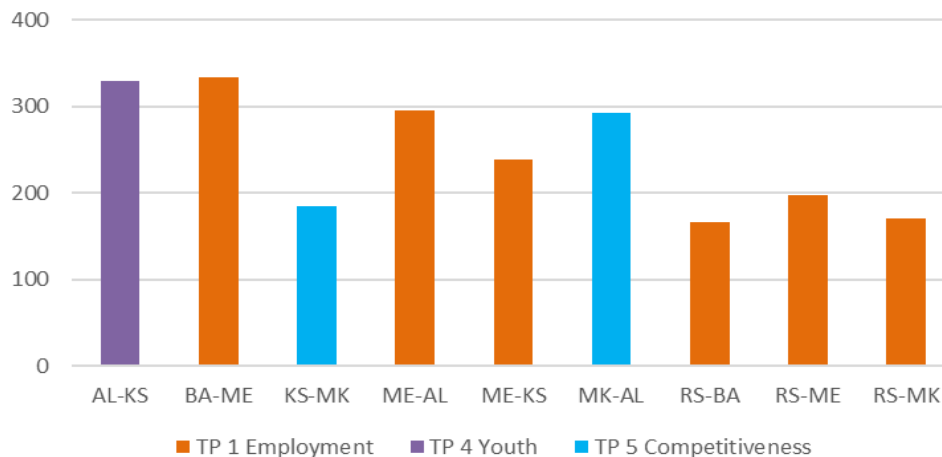


Fig. 4c: Average value of TP1, TP4 & TP5 projects

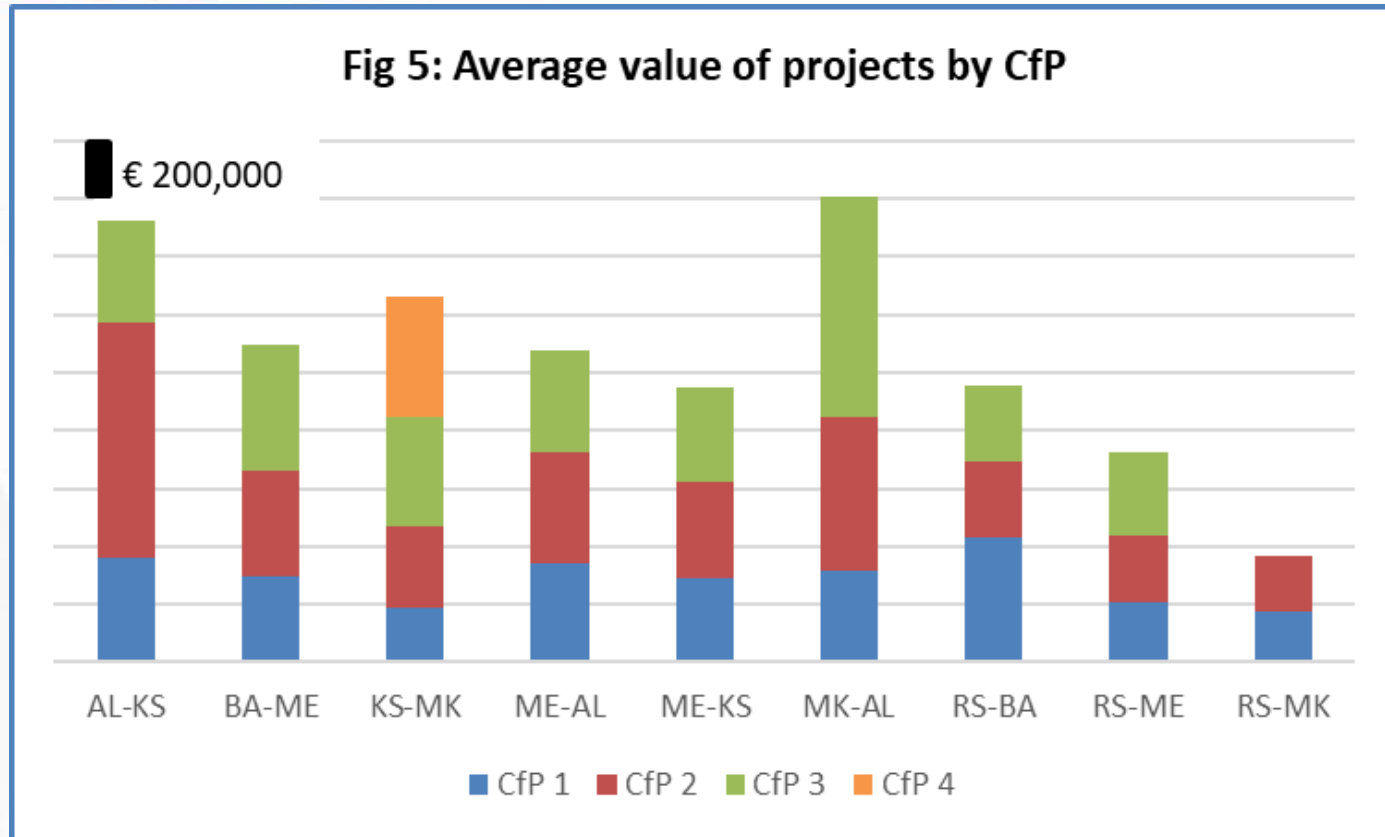


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# Regional level template example (vi)



CfP 1	Min: €176,587 (RS-MK), €185,648 (KS-MK)	Max: €358,841 (AL-KS), €428,457 (RS-BA)
CfP 2	Min: €189,305 (RS-MK), €234,037 (RS-ME)	Max: €535,138 (MK-AL), €812,948 (AL-KS)
CfP 3	Min: €258,954 (RS-BA), €283,898 (RS-ME)	Max: €437,798 (BA-ME), €760,049 (MK-AL)



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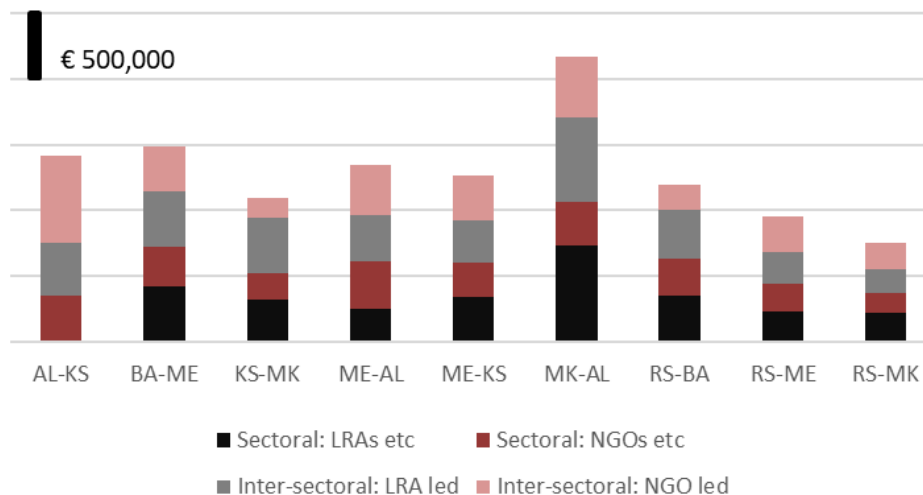
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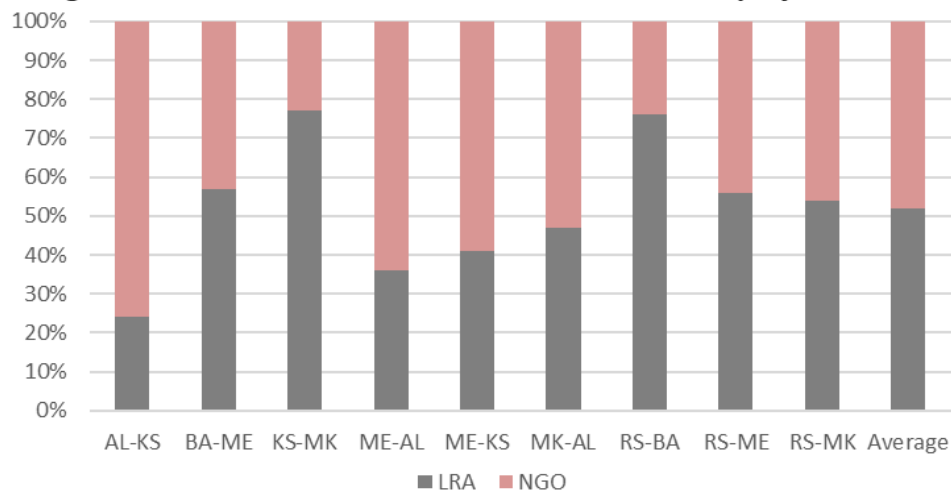
# Regional level template example (vii)



**Fig 6: Average value of projects by type of partnership**



**Fig. 7: Share of LRAs and NGOs in commitments as project leaders**



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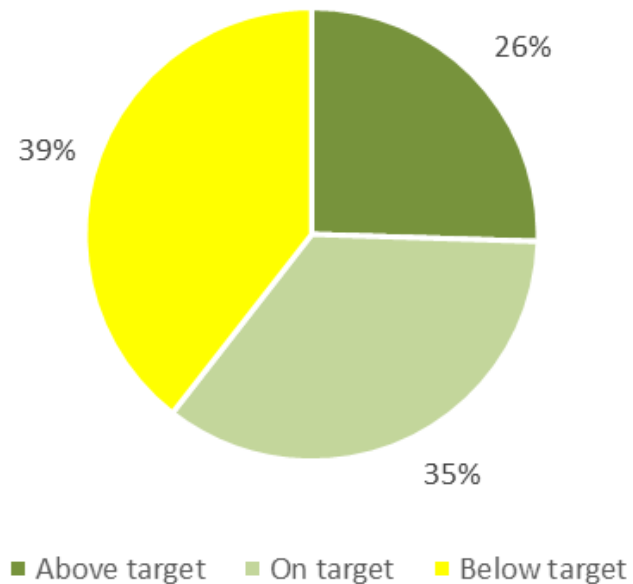
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# Regional level template example (viii)



Fig. 8: Overall performance indicators achievement



Total number of performance indicators rated across all programmes: 1,039

(Based on all completed and ongoing projects)



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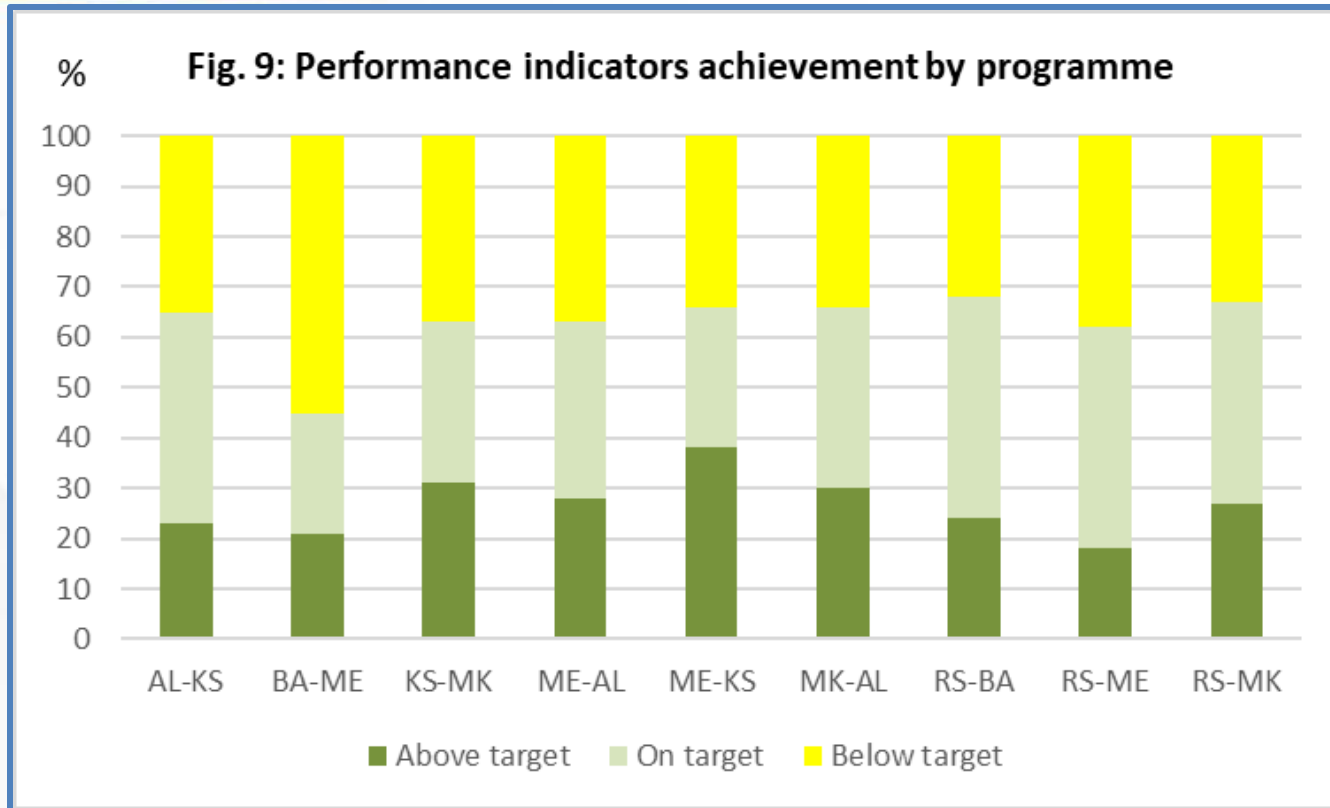


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# Regional level template example (ix)



**Total number of performance indicators rated by programme:**

**AL-KS: 79, BA-ME: 229, KS-MK: 68, ME-AL: 75, ME-KS: 62, MK-AL: 242, RS-BA: 108, RS-ME: 104, RS-MK: 72**



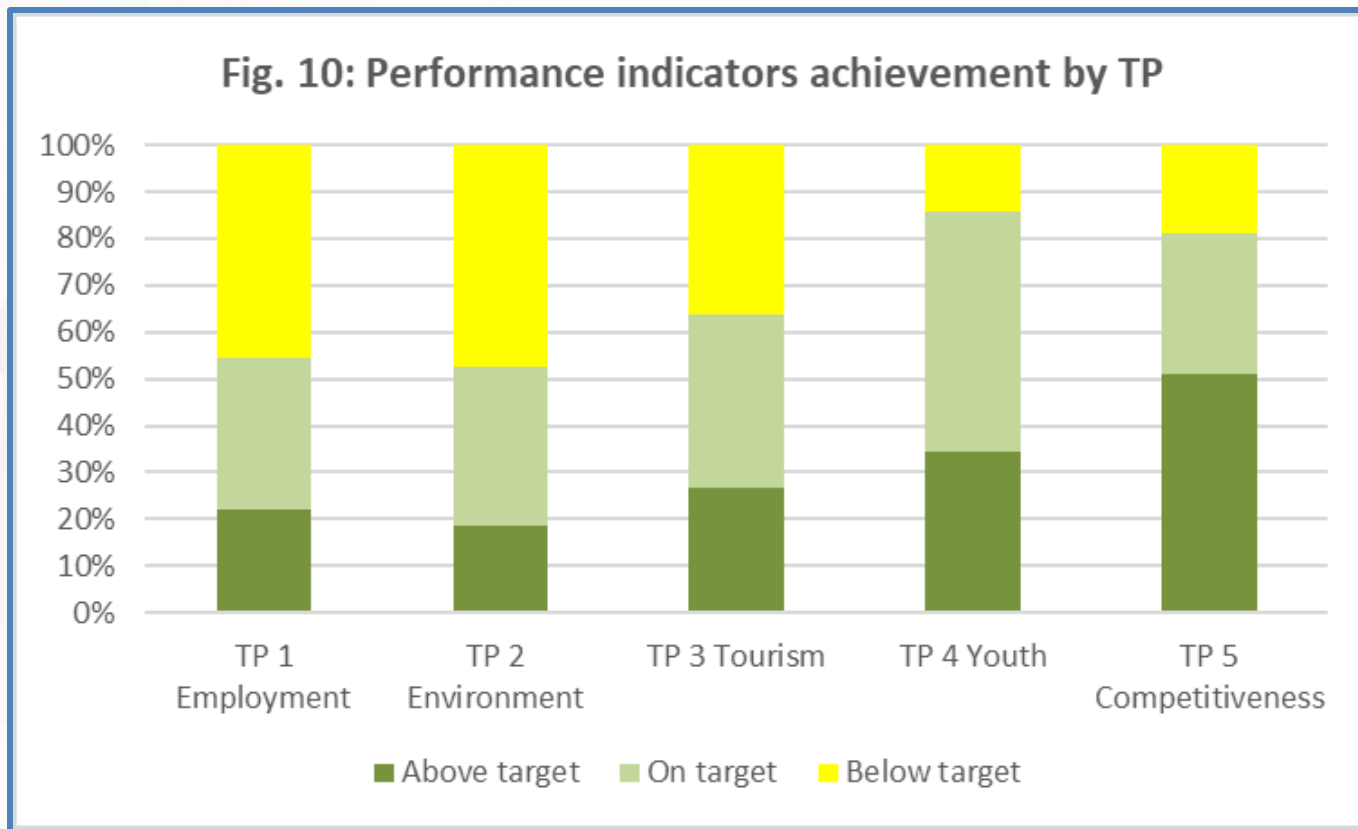
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# Regional level template example (x)



Total number of performance indicators rated by TP: TP1: 262, TP2: 292, TP3: 366, TP4: 35, TP5:84

(Values and percentages based on all completed and ongoing projects)



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# Regional level template example (xi)



**Table 3: Selected\* performance indicators achievement - TP 2 Environment**

	Value at 31.12.2022	Target	% of target achieved
<i>Output indicators</i>			
Number of people reached by information/promotion campaigns	563,849	561,136	100%
Number of sets of equipment purchased and made available to target groups	11,101	11,003	101%
Number of plans developed (e.g. strategic, investments, business plans, etc)	114	101	113%
Number of people in CBC area made aware of sustainable use of natural resources and environment in key sectors	231,243	195,564	118%
<i>Outcome indicators</i>			
Number of organisations/institutions with increased capacities/competences	89	81	110%
Amount of waste collected for recycling (in tons)	86,992	8,427	1032%
<i>Impact indicators</i>			
Number of households and business units benefiting from common waste management and wastewater treatment solutions/measures	17,938	16,884	106%



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(Values and percentages based on completed projects only)

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# Regional level template example (xii)



**Table 4: Selected\* performance indicators achievement - TP 3 Tourism**

	Value at 31.12.2022	Target	% of target achieved
<i>Output indicators</i>			
Number of people reached by information/promotion campaigns	3,164,208	244,524	1294%
Number of sets of equipment purchased and made available to target groups	169	158	107%
Number of new/improved tourism sites developed	95	52	183%
Number of new/improved joint tourism products developed	132	102	129%
<i>Outcome indicators</i>			
Number of organisations/institutions with increased capacities/competences	367	317	116%
Number of tourists using new/improved products/services/offers/ideas	16,730	12,394	135%
<i>Impact indicators</i>			
Number of visitors to the cross-border area	2,420,171	2,455,763	99%



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(Values and percentages based on completed projects only)



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# Summary of main points to retain



- **Feasible:**

- Based on analysis of readily available data
- Relatively easy to produce

- Offers reliable at-a-glance **overview of progress**

- **100% consistency** across programmes (except for selected indicators)

- Annual or 6-monthly **frequency** possible (or by CFP)

- **Ready to use** for final reporting under IPA II and ongoing progress reporting and inputs to CfPs under IPA III



# Thank you for your attention!



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